



The Commonwealth of Massachusetts  
Executive Office of Health and Human Services  
Department of Public Health  
Bureau of Health Care Safety and Quality  
**Medical Use of Marijuana Program**  
99 Chauncy Street, 11<sup>th</sup> Floor, Boston, MA 02111

CHARLES D. BAKER  
Governor

KARYN E. POLITO  
Lieutenant Governor

MARYLOU SUDDERS  
Secretary

MONICA BHAREL, MD, MPH  
Commissioner

Tel: 617-660-6370  
[www.mass.gov/medicalmarijuana](http://www.mass.gov/medicalmarijuana)

**APPLICATION OF INTENT**  
**Request for a Certificate of Registration to**  
**Operate a Registered Marijuana Dispensary**

**INSTRUCTIONS**

This application form is to be completed by any non-profit corporation that wishes to apply for a Certificate of Registration to operate a Registered Marijuana Dispensary (“RMD”) in Massachusetts.

If seeking a Certificate of Registration for more than one RMD, the applicant non-profit corporation (“Corporation”) must submit a separate *Application of Intent*, all required attachments, and an application fee for each proposed RMD. Please identify each application of multiple applications by designating it as Application 1, 2 or 3 in the header of each application page. Please note that no executive, member, or any entity owned or controlled by such an executive or member, may directly or indirectly control more than three RMDs.

However, even if submitting an *Application of Intent* for more than one RMD, an applicant need only submit one *Character and Competency form* for each required individual.

Unless indicated otherwise, all responses must be typed into the application forms. Handwritten responses will not be accepted. Please note that character limits include spaces.

Attachments should be labelled or marked so as to identify the question to which it relates.

Each submitted application must be a complete, collated response, printed single-sided, and secured with a binder clip (no ring binders, spiral binding, staples, or folders).

Mail or hand-deliver the *Application of Intent*, with all required attachments, the \$1,500 application fee, and Remittance Form to:

Department of Public Health  
Medical Use of Marijuana Program  
RMD Applications  
99 Chauncy Street, 11<sup>th</sup> Floor  
Boston, MA 02111

**Application fees are non-refundable and non-transferable.**

RECEIVED

JUN 30 2015

MA Dept of Public Health  
99 Chauncy Street  
Boston, MA, 02111

Application 1 of 1 Applicant Non-Profit Corporation \_\_\_\_\_

## CHECKLIST

The forms and documents listed below must accompany each application, and be submitted as outlined above:

- A fully and properly completed *Application of Intent*, signed by an authorized signatory of the corporation
- A copy of the Corporation's *Certificate of Legal Existence* from the Massachusetts Secretary of State
- Financial account summary(ies) (as outlined in Section D)
- A bank or cashier's check made payable to the *Commonwealth of Massachusetts* for \$1,500.
- A completed *Remittance Form* (use template provided)
- A completed and signed *Character and Competency* form (use template provided) for each of the following actors:
  - Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, the *Character and Competency* Form must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

Information on this page has been reviewed by the applicant, and where provided by the applicant, is accurate and complete, as indicated by the initials of the authorized signatory here: JMR

Application 1 of 1 Applicant Non-Profit Corporation \_\_\_\_\_

## REVIEW

Applications are reviewed in the order they are received.

After a completed application packet and fee is received by the Department of Public Health (“Department”), the Department will review the information and will contact the applicant if clarifications/updates to the submitted application materials are needed. The Department will notify the applicant whether they have met the standards necessary to be invited to submit a *Management and Operations Profile*.

If invited by the Department to submit a *Management and Operations Profile*, the applicant must submit the *Management and Operations Profile* within 45 days from the date of the invitation letter, or the applicant must submit a new *Application of Intent* and fee.

## PROVISIONAL CERTIFICATE OF REGISTRATION

Applicants have one year from the date of the submission of the *Management and Operations Profile* to receive a Provisional Certificate of Registration. If an applicant does not receive a Provisional of Certificate of Registration after one year, the applicant must submit a new *Application of Intent* and fee.

## REGULATIONS

For complete information regarding registration of an RMD, please refer to 105 CMR 725.100.

It is the applicant’s responsibility to ensure that all responses are consistent with the requirements of 105 CMR 725.000, et seq., and any requirements specified by the Department, as applicable.

## PUBLIC RECORDS

Please note that all application responses, including all attachments, will be subject to release pursuant to a public records request, as redacted pursuant to the requirements at M.G.L. c. 4, § 7(26).

## QUESTIONS

If additional information is needed regarding the RMD application process, please contact the Medical Use of Marijuana Program at 617-660-5370 or [RMDapplication@state.ma.us](mailto:RMDapplication@state.ma.us).

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Application 1 of 1 Applicant Non-Profit Corporation \_\_\_\_\_

**SECTION A. APPLICANT INFORMATION**

1. Hope Heal Health, Inc  
Legal name of Corporation
2. John M Rogue  
Name of Corporation's Chief Executive Officer
3. 36 Grasshopper Lane, Scituate Ma 02066  
Address of Corporation (Street, City/Town, Zip Code)
4. John M Rogue  
Applicant point of contact (name of person the Department should contact regarding this application)
5. 401-374-3862  
Applicant point of contact's telephone number
6. jmrogue@cox.net  
Applicant point of contact's e-mail address
7. Number of applications: How many *Applications of Intent* do you intend to submit? 1

**SECTION B. INCORPORATION**

8. Attach a *Certificate of Legal Existence* from the Massachusetts Secretary of State, documenting that the applicant non-profit entity is incorporated as a non-profit in Massachusetts.

**SECTION C. CHARACTER AND COMPETENCY**

9. Attach a *Character and Competency* form (use template provided) for each of the following actors:
  - The Chief Executive Officer; Chief Operating Officer; Chief Financial Officer; individual/entity responsible for marijuana for medical use cultivation operations; individual/entity responsible for the RMD security plan and security operations; each member of the Board of Directors; each Member of the Corporation, if any; and each person and entity known to date that is committed to contributing 5% or more of initial capital to operate the proposed RMD. For entities contributing initial capital to operate the proposed RMD, the *Character and Competency* Form must be completed and signed by the entity's Chief Executive Officer/Executive Director and President/Chair of the Board of Directors.

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UBS Financial Services Inc.  
500 Exchange Street  
Suite 1210  
Providence RI 02903-2631  
CPI1000062863 0515 RH 0

# Summary of your UBS Portfolio

May 2015

JOHN ROGUE

## Value of your portfolio

on April 30 (\$)

on May 29 (\$)

Value of your portfolio

\$1,660,884.22

**Items for your attention**

- Help protect yourself from fraud and review bank, credit card, and brokerage statements regularly. Also, get your free credit report annually from [www.annualcreditreport.com](http://www.annualcreditreport.com).

**Your Financial Advisor:**  
DICOLO WEALTH MANAGEMENT GROUP  
Phone: 401-455-6700/800-333-6303

**Visit our website:**  
[www.ubs.com/financialservices](http://www.ubs.com/financialservices)

Value of your portfolio on May 29, 2015 \$1,660,884.22

Member SIPC

000308 8100F01 007856

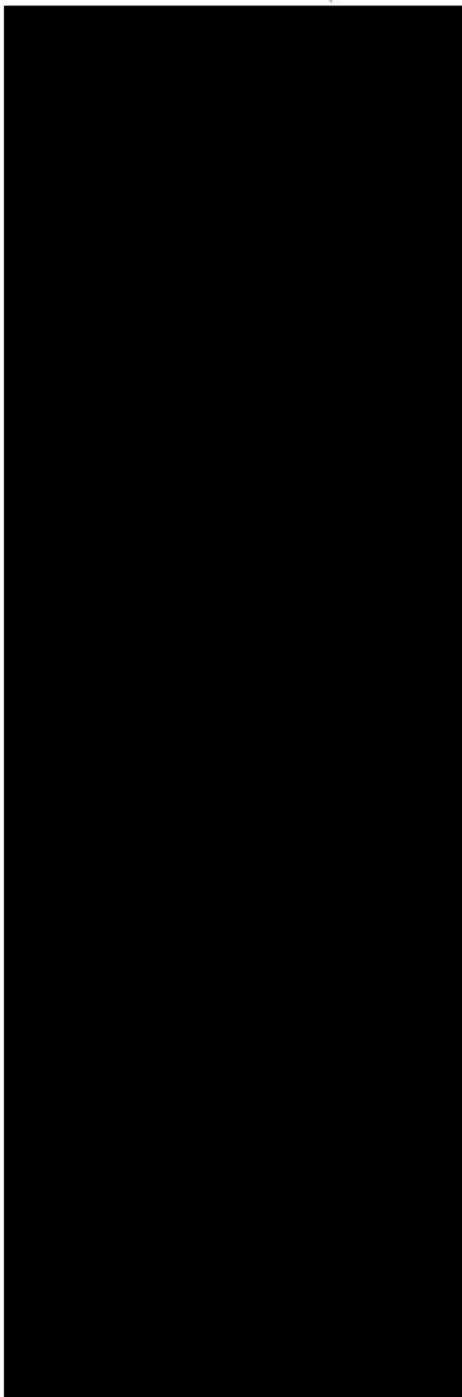
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Page 3 of 38

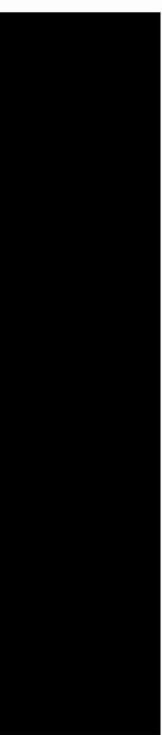


## Your portfolio balance sheet

### Summary of your assets



### Summary of your liabilities



Value of your portfolio **\$1,660,884.22**

› Cash and money balances may include available cash balances, UBS Bank USA deposit account balances, UBS AG Stamford Branch deposit account balances and money market mutual fund sweep balances. See the important information about your statement on the last two pages of this statement for details about those balances.

## Eye on the markets

Index	Percentage change	
	May 2015	Year to date
S&P 500	1.29%	3.23%
Russell 3000	1.38%	3.68%
MSCI - Europe, Australia & Far East	-0.40%	8.93%
Barclays Capital U.S. Aggregate Bond Index	-0.24%	1.00%
<b>Interest rates on May 29, 2015</b>		
3-month Treasury bills:	0.01%	
One-month LIBOR:	0.18%	



UBS Financial Services Inc.  
500 Exchange Street  
Suite 1210  
Providence, RI 02903  
[ubs.com/fs](http://ubs.com/fs)

Confirmation

Mr. John Rogue  
[Redacted]

June 29, 2015

## Confirmation: Information regarding the account of John Rogue

The following client has requested UBS Financial Services Inc. to provide you with a letter of reference to confirm his banking relationship with our firm.

John Rogue has been a valued client of ours since [Redacted] and as of the close of business on June 26, 2015, the [Redacted] [Redacted] approximately \$514,178.22 in available credit.

Please be aware this account is a securities account not a "bank" account. Securities, mutual funds and other non-deposit investment products are neither FDIC-insured or bank guaranteed and are subject to market fluctuation. The assets in the account, including cash balances, may also be subject to the risks of withdrawal and transfer. The above-referenced account value may reflect assets not held at UBS.

### Questions

If you have any questions about this information, please contact Robert DiColo at 401.455.6758.

UBS Financial Services is a member firm of the Securities Investor Protection Corporation (SIPC).

cc: John Rogue

Application 1 of 1 Applicant Non-Profit Corporation \_\_\_\_\_

**ATTESTATIONS**

Signed under the pains and penalties of perjury, I, the authorized signatory for the applicant non-profit corporation, agree and attest that all information included in this application is complete and accurate and that I have an ongoing obligation to submit updated information to the Department if the information presented within this application has changed.

06/25/2015

\_\_\_\_\_  
Signature of Authorized Signatory

\_\_\_\_\_  
Date Signed

John M Rogue

\_\_\_\_\_  
Print Name of Authorized Signatory

President

\_\_\_\_\_  
Title of Authorized Signatory

I hereby attest that if the non-profit corporation is allowed to proceed to submit a *Management and Operations Profile*, the applicant non-profit corporation is prepared to pay a non-refundable application fee of \$30,000 and the cost of all required background checks, and comply with all *Management and Operations Profile* and *Siting Profile* requirements.

06/25/2015

\_\_\_\_\_  
Signature of Authorized Signatory

\_\_\_\_\_  
Date Signed

John M Rogue

\_\_\_\_\_  
Print Name of Authorized Signatory

President

\_\_\_\_\_  
Title of Authorized Signatory

I hereby attest that I understand that registered marijuana dispensaries are required to conduct background investigations of proposed Dispensary Agents, that such background investigations are subject to the Department's inspection and review, and that the applicant non-profit corporation will not engage the services of a Dispensary Agent that has ever been convicted of a felony drug offense in Massachusetts, or a like violation of the laws of another state, the United States, or a military, territorial, or Indian tribal authority.

06/25/2015

John M Rogue  
Signature of Authorized Signatory

\_\_\_\_\_  
Date Signed

John M Rogue

\_\_\_\_\_  
Print Name of Authorized Signatory

President

\_\_\_\_\_  
Title of Authorized Signatory

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William Francis Galvin  
Secretary of the  
Commonwealth

*The Commonwealth of Massachusetts*  
*Secretary of the Commonwealth*  
*State House, Boston, Massachusetts 02133*

Date: June 26, 2015

To Whom It May Concern :

I hereby certify that

**HOPE HEAL HEALTH, INC**

appears by the records of this office to have been incorporated under the General Laws of this  
Commonwealth on **June 26, 2015** (Chapter 180).

I also certify that so far as appears of record here, said corporation still has legal existence.



In testimony of which,  
I have hereunto affixed the  
Great Seal of the Commonwealth  
on the date first above written.

*William Francis Galvin*

Secretary of the Commonwealth

Certificate Number: 15064002020

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by: jmu