

Massachusetts Division of Insurance Report of Membership¹
in Closed Nongroup Plans as of December 31, 2006

| | DEPENDENTS BY COUNTY COMMERCIAL INSURANCE CARRIERS As of December 31, 2006 | Barnstable | Berkshire | Bristol | Dukes | Essex | Franklin | Hampden | Hampshire | Middlesex | Nantucket | Norfolk | Plymouth | Suffolk | Worcester | 06 MA TOTAL | 05 MA TOTAL |
|----|--|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| | | Dependents at End of Year |
| 1 | Aetna Life Insurance Company | 0 | 0 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 4 | 5 |
| 2 | American National Life Insurance Company of Texas | 9 | 2 | 4 | 0 | 1 | 2 | 8 | 0 | 8 | 0 | 6 | 7 | 0 | 0 | 47 | 56 |
| 3 | American Republic Insurance Company | 6 | 0 | 0 | 6 | 23 | 0 | 10 | 3 | 19 | 3 | 1 | 3 | 0 | 2 | 76 | 85 |
| 4 | AXA Equitable Life Insurance Company ² | 11 | 8 | 2 | 5 | 8 | 0 | 1 | 4 | 36 | 1 | 16 | 8 | 2 | 4 | 106 | 109 |
| 5 | Bankers Life and Casualty Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 6 | Celtic Life Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 7 | Central United Life Insurance Company | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | 3 |
| 8 | Continental Assurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 9 | Farm Family Life Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | 3 |
| 10 | Golden Rule Insurance Company | 30 | 3 | 7 | 3 | 2 | 13 | 1 | 13 | 22 | 1 | 0 | 0 | 0 | 12 | 107 | 134 |
| 11 | (The) Guardian Life Insurance Company of America | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 1 | 0 | 7 | 8 |
| 12 | ING Life Insurance and Annuity Company | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 |
| 13 | John Alden Life Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 14 | John Hancock Life Insurance Company (U.S.A.) ³ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15 | Knights of Columbus | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 16 | (The) MEGA Life and Health Insurance Company | 3 | 0 | 4 | 3 | 1 | 0 | 0 | 0 | 3 | 0 | 1 | 4 | 0 | 0 | 19 | 24 |
| 17 | Metropolitan Life Insurance Company | 0 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 3 | 0 | 1 | 0 | 0 | 1 | 9 | 9 |
| 27 | MetLife Insurance Company of CT ⁴ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 18 | Mid-West National Life Insurance Company of Tennes | 1 | 0 | 0 | 0 | 8 | 1 | 1 | 3 | 4 | 0 | 6 | 5 | 0 | 0 | 29 | 42 |
| 19 | Mutual of Omaha Insurance Company | 0 | 2 | 0 | 4 | 3 | 0 | 0 | 1 | 7 | 0 | 2 | 0 | 0 | 0 | 19 | 25 |
| 20 | National Benefit Life Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 2 | 4 | 0 | 0 | 0 | 0 | 1 | 9 | 9 |
| 21 | National Financial Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 | 4 |
| 22 | National Health Insurance Company | 1 | 0 | 0 | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 2 | 13 | 16 |
| 23 | New York Life Insurance Company | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 3 |
| 24 | (The) Prudential Insurance Company of America | 4 | 0 | 2 | 0 | 2 | 0 | 0 | 1 | 5 | 2 | 3 | 1 | 1 | 1 | 22 | 30 |
| 25 | Thrivent Financial for Lutherans ⁵ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 |
| 26 | Time Insurance Company ^{6,7} | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| 28 | Trustmark Insurance Company | 4 | 0 | 1 | 0 | 0 | 0 | 4 | 0 | 3 | 0 | 7 | 5 | 0 | 0 | 24 | 31 |
| 29 | UNICARE Life & Health Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30 | United HealthCare Insurance Company | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 31 | United Teacher Associates Insurance Company ⁸ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 32 | Washington National Insurance Company ⁹ | 41 | 0 | 4 | 18 | 16 | 3 | 3 | 0 | 25 | 5 | 3 | 1 | 0 | 17 | 136 | 160 |
| | TOTALS: | 111 | 16 | 28 | 39 | 81 | 20 | 30 | 29 | 144 | 12 | 46 | 36 | 4 | 42 | 638 | 760 |

¹ According to M.G.L. c. 176M §1 a "closed plan" is defined as a "nongroup health plan issued by a carrier to a natural person for said person, as well as any covered dependents, prior to the first day of the first open enrollment period" (October 1, 1997).

² Effective December 7, 2004 The Equitable Life Assurance Society of the U.S. changed its name to AXA Equitable Life Insurance Company.

³ Effective January 1, 2005, The Manufacturers Life Insurance Company (U.S.A.) changed its name to John Hancock Life Insurance Company (U.S.A.). As of March 8, 2006, carrier completed the discontinuance filing requirements for its "closed" plan according to M.G.L. c. 176M §3(d).

⁴ The administrator for (The) Travelers Insurance Company ("Travelers") notified the Division that Travelers closed block business is now the financial responsibility of MetLife Insurance Company of CT.

⁵ Effective May 21, 2002, Aid Association For Lutherans changed its name to Thrivent Financial for Lutherans.

⁶ Effective September 6, 2005, Fortis Insurance Company changed its name to Time Insurance Company.

⁷ As of September 17, 2004, Time Insurance Company completed the discontinuance filing requirements for certain "closed" plans according to M.G.L. c. 176M §3(d).

⁸ In 2004, United Teacher Associates Insurance Company ("UTA") assumed Investors Life Insurance Company of North America's (ILIC) "Closed" block business. Membership now reported under UTA.

⁹ Effective July 1, 2003, Consec Medical Insurance Company ("Consec") merged into Washington National Insurance Company ("WNI"). All Consec "closed" membership now reported in WNI's membership statistics.